EXCHANGE TRADER APPLICATION FOR CASH MARKET

Member Firm: ..... Participant ID.....

Name of the Exchange Trader: .....

Date of birth: .....

Exchange Trader´s office Phone number (including country code): .....

Exchange Trader´s E-mail: .....

Date of completed INET/SAXESS examination: .....

The authorization shall apply to: ☐ Equity related ☐ Fixed income related instruments

Please indicate on which Exchange(s) the Exchange Trader in question will be trading:

☐ NASDAQ OMX Stockholm ☐ NASDAQ OMX Copenhagen ☐ NASDAQ OMX Helsinki
☐ NASDAQ OMX Riga ☐ NASDAQ OMX Vilnius ☐ NASDAQ OMX Tallinn
☐ NASDAQ OMX Iceland

The above choices will not generate corresponding technical configurations of market access for the user. In INET, market permissions are inherited from the participant for which the Exchange Trader is registered. Trading activity will be followed up through regular audits. Please note that if the Exchange Trader in question wishes to start trading on additional Exchange(s) as compared to the above indicated Exchange(s), the member shall contact Member Services with regard to registering the Exchange Trader for the relevant Exchange(s).

In accordance with the NASDAQ OMX Nordic Member Rules 4.4.3 (or corresponding local rulebooks and clauses), the member is responsible for ensuring that the Exchange Trader possesses the following requirements:

- At least 6 months experience in securities trading;
- Documented knowledge regarding the Exchange(s)' trading rules and other legislation governing trading;
- Documented knowledge of economics, financial markets, and financial analysis;
- Has taken and passed a test organized by the Exchange(s) in trading in the Trading System and/or has read and understood the Fixed Income Market Model document.

It is hereby confirmed that the above-mentioned person fulfils the requirements stated above and that the person possesses the qualifications and experience, as well as is deem fit and suitable, to be registered as a Exchange Trader according to the membership agreement.

.....
Date

....
Signature (Compliance Officer) Signature (Trader)

.....
Name in print

Contact person for the application: .....

Contact person's E-mail: .....

Please submit this form to Member Services via fax on + 46 8 405 6890 or by e-mail to memberservices@nasdaqomx.com

Personal data which the trader submits in connection therewith is dealt by the Exchanges within NASDAQ OMX ("NASDAQ OMX") for the purposes of the preparation and administration of the mandate. Personal data will only be used for administrational reasons and to inform the trader about NASDAQ OMX's activities. If a trader wishes to receive information on the personal data relating to him or her which is held by NASDAQ OMX , the trader can request such information from NASDAQ OMX by writing to the following address: NASDAQ OMX , 105 78 Stockholm, Sweden. If a trader wishes to correct inaccurate or misleading information, he/she can contact NASDAQ OMX on the above address.