

OMX Surveillance

Monthly report August 2008

Stockholm

During the month the OMX Nordic Exchange Stockholm has handed over three cases to the Swedish Financial Supervisory Authority, subsequent to the exchange's obligation according to Swedish law to report matters of suspected market abuse. One of these cases concerned suspected illegal insider trading and the remaining two concerned suspected market manipulation.

The trading in the shares in Karo Bio AB was halted in connection with the publication of the results from a medical study.

The shares in Broström AB were placed on the observation segment due to the fact that A.P Møller - Mærsk A/S had announced a public offer to the shareholders of the company.

Helsinki

Nothing to report

Copenhagen

OMX Nordic Exchange Copenhagen A/S has decided to no longer admit trading in the shares of Europeinvestment A/S in liquidation (hereafter Europeinvestment) with effect from 4 November 2008. The exchange made this decision on its own initiative without receiving a request from the company.

The decision was made on the basis of rule 2.9 in Rules for issuers of shares on the Exchange and the Securities Trading Act section 25, paragraph 1, as Europeinvestment no longer fulfil the rules of the exchange concerning transparency, managerial capabilities and capacity to publish information to the market. Initiatives have not been taken by the company to ensure that significant changes concerning the company will be implemented within a reasonable time period.

The trading in the shares of Roskilde Bank A/S and two of the bank's bonds were suspended after the bank had announced that it was no longer able to meet the individually assessed solvency and that the FSA had determined a deadline for the reestablishment of the bank's capital base. Furthermore, it was announced that the sale process launched had been completed without any offers to buy the bank in whole or in part. Therefore a new bank partly established by the Danish National Bank had offered to buy all assets and assume all debts and other liabilities of the bank except hybrid core capital and subordinated loan capital. Furthermore, it was stated that after the sale to this bank had been completed, Roskilde bank will have a considerable negative equity, there will be no operations of any

kind in the bank, and the bank will hand in its license to operate as a bank and suspend its payments.

Iceland

One bond issuer received criticism for late publication of an announcement regarding its annual financial statements.

One bond issuer was criticized because information on the expected week of publication of the issuer's interim financial statement was not disclosed in due time in accordance with the Exchange's rules.

One case regarding a possible breach of the Icelandic Act on Securities Transactions regarding notification of insiders' transactions was sent to the FSA.

The shares in 365 hf. and Teymi hf. were moved to the observation segment after the companies had requested that their shares shall be removed from trading.

The shares in Sparisjóður Reykjavíkur og Nágrennis (SPRON) were moved to the observation segment due to an intended merger with Kaupthing bank hf.

Tallinn

Nothing to report

Riga

The Riga Stock Exchange (RSE) management board has decided to impose a warning to AS "VEF" since the company had breached the RSE rules regarding increase of the share capital. According to the rules, an issuer shall publish a document informing shareholders about procedures regarding the rules on increase in the company's share capital approved by the shareholders meeting together with the resolution on the increase in share capital passed by a shareholders meeting.

The RSE Member and Issuer Surveillance Committee has decided to impose a fine of LVL 15,000 to the AS "Latvijas kuģniecība", as the company had not provided answers to the RSE questions regarding an extra general meeting (EGM) in the company and also had failed to submit the protocol of the EGM to the RSE.

The RSE management board has decided to suspend the trading in the shares of AS "Latvijas Zoovetapgāde" as from August 28, 2008. The company announced that the management registered in the Republic of Latvia Commercial register is unable to control the company's operations as the old management board is not willing to give up their rights.

The RSE management board has decided to include additional reasons for the applied surveillance status to AS „Tukuma mežrūpniecības saimniecība” since the company has not paid the listing fee within the time limit and the payment is overdue for more than 6 months.

Surveillance status was assigned to AS "Latvijas Zoovetapgāde", as the company had announced that the annual general meeting of shareholders (AGM) was cancelled but it later

turned out that the AGM still took place as previously announced. The Surveillance status was later lifted after the company had submitted an explanatory notice regarding the matter and the decisions of the meeting were published in accordance with the RSE rules.

The RSE has informed the Financial and Capital Market Commission regarding the above mentioned surveillance cases.

Vilnius

Nothing to report

First North

One Finnish First North company was criticized for having disclosed an insufficient financial statement release and for not having disclosed the decisions made by the annual general meeting without undue delay. The company was however not given a warning, because the breaches were to some extent explained by the fact that the company had been incorrectly informed about the applicable rules.

The shares in VLT AB were placed on the observation segment after the company had announced that its major owner had acquired more shares and now controlled more than 90 per cent of the shares and votes in the company. VLT therefore no longer fulfilled the listing requirement applicable to companies on First North that state that at least 10 per cent of the shares in a company on First North have to be owned by the general public.